

FP7 post-grant Open Access Pilot

OpenAIRE

# FP7 Post-Grant Open Access Pilot

Update on the final stages of the pilot and preliminary results

Gwen Franck  
LIBER  
@g\_fra



# 10 days to go ...

- **Start date: May 30 2015 / End date: April 30 2017**
- **What we did/are still doing:**
  - **APC/BPC**
  - **‘Alternative Funding Mechanism: support of 11 non-APC based OA publishing initiatives to improve their work**
  - **Investigate and test pre-payment agreements with OA publishers and block grants with institutions**
  - **Report + Roadmap: “Towards a competitive and sustainable open access publishing market in Europe”**

# FP7 Post Grant Open Access Pilot

- via <http://postgrantoapilot.openaire.eu>
- **Eligibility criteria:**
  - Project ended but not more than two years ago
  - Non-hybrid journals only
  - Limited amount of publications per project
  - Funding cap of 2000€ per article or 6000€ per book
- **AIM**
  - support projects that had their budgets written before any H2020 open access policies in place
  - gather a significant set of data re:APCs to support any future policy actions
  - Establish workflows

# 'Alternative Funding Mechanism'

- support of 11 non-APC based OA publishing initiatives
- AIM:
  - Allow these initiatives to make substantial improvements to their infrastructure and workflows, covering areas such as OpenAIRE compliance, DOAJ registration, licensing, versions of published articles, ...
  - Explore how non-APC Gold OA can be sustainable via alternative funding models

# Pre-payment agreements

- **Currently:**

- Publishers: Copernicus, Wiley, BioMedCentral, BMJ and Ubiquity Press
- Institutions: Radboud Nijmegen, Bielefeld Germany

- **AIM:**

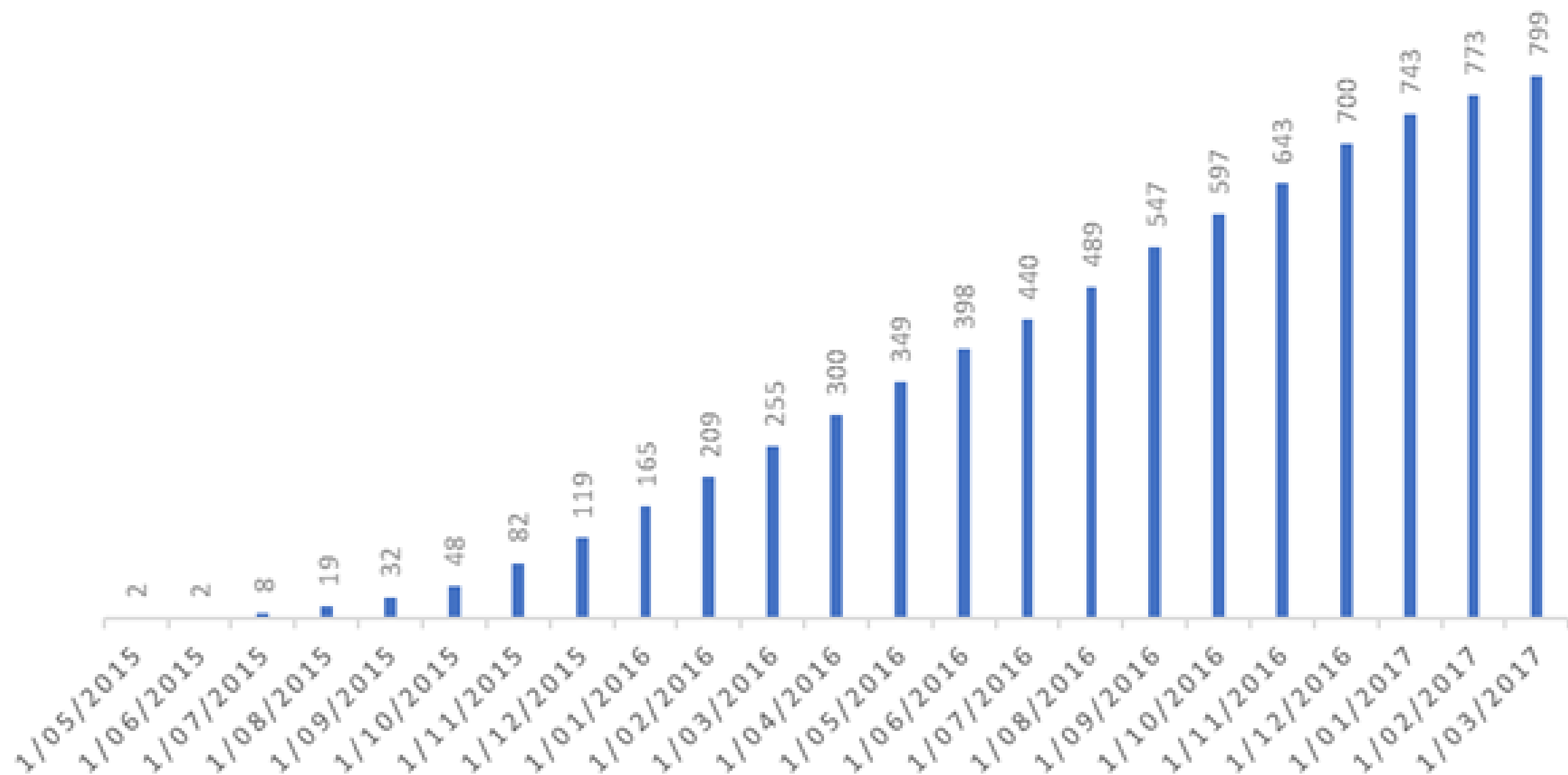
- Experiment with decentralized forms of reimbursement management
- Design feasible workflows

# Report + Roadmap

- **“Towards a competitive and sustainable open access publishing market in Europe” + Annex evaluating the FP7 Post Grant Open Access Pilot**
- **AIM:**
  - create an evidence and data-based report sketching scenario’s to achieve a sustainable OA publishing market
  - an accompanying Roadmap listing concrete actions to achieve this, based on stakeholder participation (YOU!)

- 745 publications from 577 projects have been approved for funding since 1/6/2015.
- This data does not include the submissions via pre-payment agreements and block grants – actual number will be closer to 950 when pilot ends.
- A total of 1,089,495 EUR has been paid for APCs, with an average of 1,697 EUR per publication:
  - Articles: average of 1,456 EUR
  - Books : average of 5,495 EUR

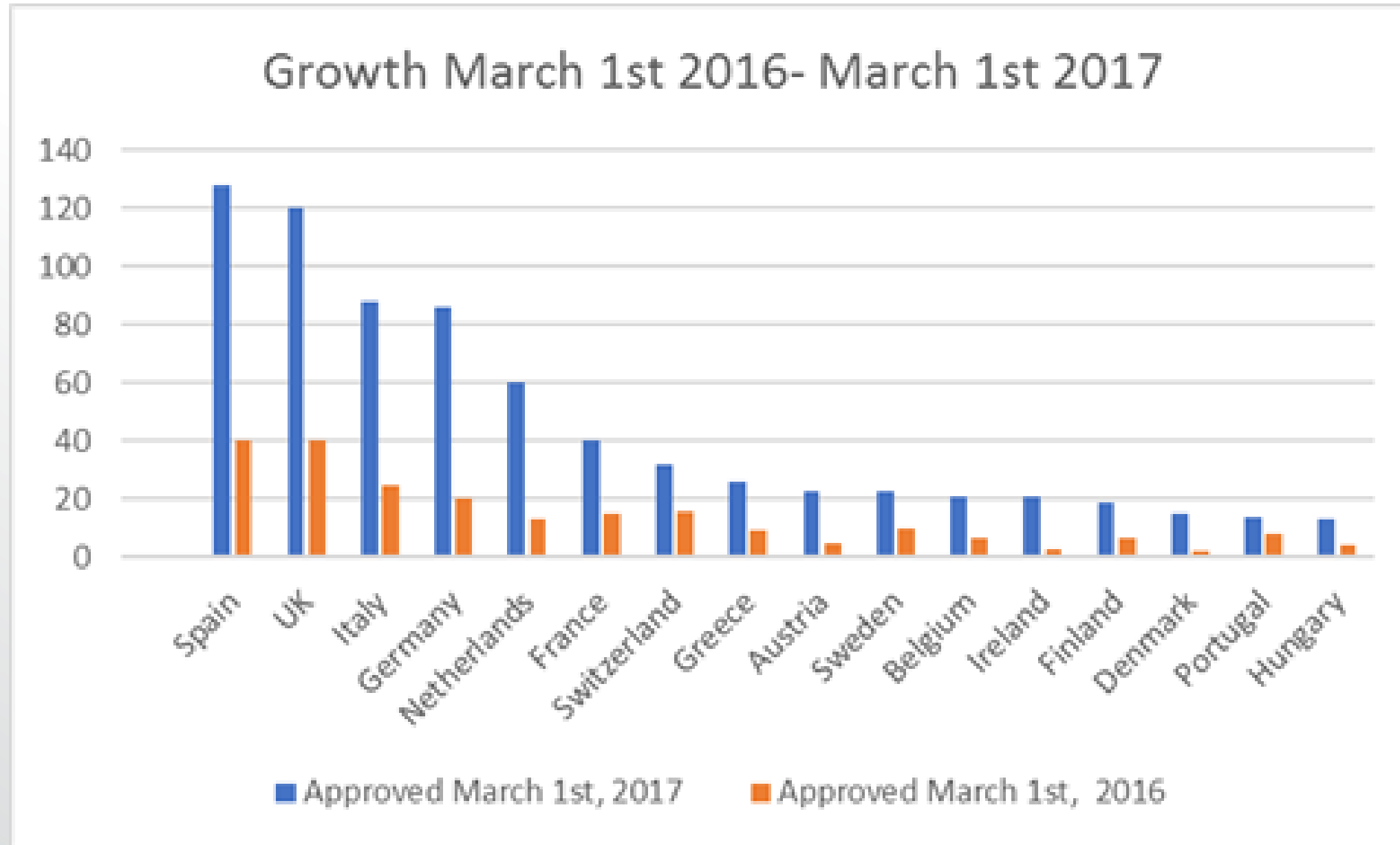
## NO OF APPROVED REQUESTS FOR THE FP7 POST-GRANT OPEN ACCESS PILOT





*\* The total and average funds in this table correspond to requests that have been fully processed and not to all approved requests.*

	country	Funded Requests ▼	Rejected Requests	Total Funds	Average Funds
1	United Kingdom	109	58	146,156.67 EUR	1,782.40 EUR
2	Spain	99	24	150,873.28 EUR	1,676.37 EUR
3	Italy	62	10	93,704.60 EUR	1,673.30 EUR
4	Germany	58	18	103,966.85 EUR	2,121.77 EUR
5	Netherlands	36	16	60,394.67 EUR	2,013.16 EUR
6	France	25	3	39,522.94 EUR	1,718.39 EUR
7	Switzerland	19	4	28,884.13 EUR	1,604.67 EUR
8	Belgium	17	5	22,705.99 EUR	1,513.73 EUR
9	Sweden	15	7	24,700.60 EUR	1,646.71 EUR
10	Hungary	14	0	14,249.00 EUR	1,187.42 EUR
11	Greece	14	4	10,650.96 EUR	1,183.44 EUR
12	Ireland	13	3	19,274.37 EUR	1,606.20 EUR
13	Austria	13	9	24,486.50 EUR	2,040.54 EUR
14	Portugal	11	2	14,894.21 EUR	1,861.78 EUR
15	Finland	11	4	12,206.41 EUR	1,220.64 EUR



	Date ▼	New Funding Requests	Total Funding Requests
1	1 apr. 2017	46	1,317
2	1 mrt. 2017	61	1,271
3	1 feb. 2017	54	1,210
4	1 jan. 2017	41	1,156
5	1 dec. 2016	49	1,115
6	1 nov. 2016	66	1,066
7	1 okt. 2016	47	1,000
8	1 sep. 2016	50	953
9	1 aug. 2016	70	903
10	1 jul. 2016	45	833
11	1 jun. 2016	69	788
12	1 mei 2016	80	719
13	1 apr. 2016	76	639
14	1 mrt. 2016	77	563
15	1 feb. 2016	72	486

# LESSONS

## LEARNT:

- Limit bureaucracy & technical jargon
- Target project coordinators!
- Use local hubs (libraries!)
- Be aspirational as a funder
- Publishers are experimenting too!

# UP NEXT:

- April 20: Workshop + final work on Roadmap
- April 30: official end of this phase of the Pilot & submissions
- May: final reporting, statistics etc.

# Thank you!



[www.openaire.eu](http://www.openaire.eu)



@openaire\_eu



facebook.com/groups/openaire



linkedin.com/groups/OpenAIRE-3893548



gwen.franck@kb.nl